

# DEMOCRATIC DECENTRALISATION AND PARTICIPATORY BUDGETING

## The Kerala Experience

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# LEGAL FRAMEWORK

- India is a Union of States
- 'central list'
- 'state list'
- 'concurrent list'
- Local governments are in state list
- Created by State Laws
- Eleventh Schedule - Rural
- Twelfth Schedule - Urban

# URBAN LOCAL BODIES IN KERALA

- ❖ 978 Grama Panchayats
- ❖ 152 Block Panchayats
- ❖ 14 District Panchayats
- ❖ 60 Municipalities
- ❖ 5 Corporations

# CASE STUDY OF PAZHAYANNUR GP: CONTEXT

## LOCAL GOVERNMENTS IN KERALA

- ✓ good local governance system
- ✓ people's participation
- ✓ participatory budgeting
- ✓ transparency and accountability
- ✓ Powers, functions and responsibilities
- ✓ One-third of the plan-grant-in-aid of the State

## Land, People, and the Regional Economy

Southern-most State in India

Geographical area of about 39,000 sq. k.m.

Population of 3,18,38,619

Density of population of 819 per sq.km

High human development index

**Ctd...**

Elected Body

President/Mayor is the Executive Head

President/ Mayor is full time

Policy decisions on majority vote

Proportional Representation to SC and ST

50% reservation for women

# Powers, Functions and Responsibilities of Local Governments

Normal responsibilities

planning for economic development and social justice

- **Powers and Functions of President/Mayor**

- President/Mayor convenes the meetings of the Council
  - Executive Head

# PAZHAYANNUR GRAMA PANCHAYAT

<b>FEATURES OF PAZHAYANNUR GRAMA PANCHAYAT</b>	
District	Thrissur
Block Panchayat	Pazhayannur
Lok Sabha Constitutency	Alathur
Assembly Constituency	Chelakkara
Area	59.03 Sq. k.m.
Male Population	21005
Female Population	22320
Total Population	43325
Sex Ratio	1081
Number of Divisions (Wards)	22
Literacy	85%



# PARTICIPATORY BUDGETING IN KERALA

- From 1996 onwards
- 'People's Campaign'
- "primary objective of this process is to ensure that the local bodies prepare and prioritise a shelf of integrated projects in a scientific manner"

# **PRINCIPLES OF PARTICIPAOTRY BUDGETING/PLANNING**

**Autonomy**

**Subsidiarity**

**Complementarity**

**Uniformity**

**Participation of People**

**Transparency and**

**Accountability**

# **AIMS OF PARTICIPATORY PLANNING AND PUBLIC BUDGETING**

- ✓ LOCAL ECONOMIC DEVELOPMENT**
- ✓ SOCIAL JUSTICE**
- ✓ ENHANCING THE QUALITY OF PUBLIC SERVICES**
- ✓ GOOD GOVERNANCE**

# **METHODOLOGY OF PARTICIPATORY PLANNING AND BUDGETING**

## **STAGES**

**I : WARD SABHA/WARD COMMITTEE**

**II: DEVELOPMENT SEMINAR**

**III: PREPARATION OF PROJECTS**

**IV: PREPARATION OF PLAN DOCUMENT**

**V: PLAN APPROVAL BY DISTRICT PLANNING  
COMMITTEE**

**VI: IMPLEMENTATION, MONITORING AND EVALUATION**

# PARTICIPATION IN THE PROCESS

Almost all stages of the planning and budgeting

- Ward Sabha/Committee
- All voters in a Ward can participate
- First Ward Sabha/Committee in an year
- Assessment of the performance of last year;
- Determination of priorities for the current year;
- Taking preliminary decisions about the activities to be undertaken; and
- Validation of the list of poor people.

# Working Groups

- **Watershed Management**
- **Local Economic Development and Poverty Reduction**
- **Development of Scheduled Castes**
- **Development of Women and Children**
- **Health**
- **Water Supply and Sanitation including Solid Waste**
- **Education, Culture, Sports and Youth**
- **Infrastructure Development**
- **Social Security including the care of the aged and disabled**
- **Good Governance**

## FEED-BACK MECHANISM

- **At all stages of Planning and Budgeting**
- **Ward Sabha/Ward Committee**
- **Development Seminar**
- **Council Meeting and Minutes**
- **Right to Information**
- **Implementation Committee**
- **Monitoring Committee**

# **RESULTS OF PARTICIPATORY PLANNING AND BUDGETING ON ADMINISTRATIVE EFFICIENCY**

## **COMMITTEE SYSTEM AND DECENTRALISATION WITHIN LOCAL B**

- Standing Committees**
- Steering Committee**
- Joint Committee**
- Managing Committee**
- Ward Committees and Ward Sabhas**
- District Planning Committee (DPC)**
- State Development Council**
- structured decentralised planning process**



# PARTICIPATION OF PUBLIC EMPLOYEES

Employees as volunteers

Employees from Transferred Institutions

Table 6

Institutions and Posts Transferred to Municipal Councils/Municipal Corporations

1.Agriculture Dept.	Krishi Bhavan Agriculture Officer
2. Animal Husbandry Dept.	The Veterinary Dispensary Veterinary Sureon
3.Health Dept.	Primary Health Centre Medical Officer 7 Staff Members
4.Indian System of Medicine	Ayurveda Dispensary Medical Officer
5.Homeopathic	Homeo Dispensary
6.Upper Primary School	One School
7. Lower Primary School	One School
8.Women and Child Care Centres (Anganawadis)	38 Anganawadis
9.Diary	One office

# IMPACT ON SOCIAL JUSTICE

- **Multi-dimensional approach**
- **Human Development**
- **Local economic development**
- **Emphasis for poor and marginalized**
- **Handholding support for destitute**
- **Housing**
- **Sanitation**
- **Education**
- **Health**
- **Infrastructure Development**

# **ACHIEVEMENTS OF PAZHAYANNUR GRAMA PANCHAYAT**

**2013-14 : implemented**

**116 projects**

Expenditure of Pazhayannur Grama Panchayat in 2012-13 in Rupees			
SI No	ITEM	AMOUNT In INR	Percentage
1	Development Fund (General)	10656000	10.60
2	Development Fund (SC)	8641000	8.59
3	Development Fund TSP	140000	0.14
4	Maintenance Fund Road	4673000	4.65
5	Maintenance Fund Non Road	1786000	1.78
6	13 th Financial Commission Grant	4329000	4.30
7	World Bank Assistance	1869000	1.86
8	General Purpose Grant	6106000	6.07
9	MGNREGS	16700000	16.61
10	District /Block Panchayat Fund	4556000	4.53
11	MLA/MP Fund	2800000	2.78
12	Own Fund	22871429	22.74
13	State Organized Fund	15443080	15.36
	TOTAL	100570509	100.00

State Govt. Supported Welfare Programmes 2011-2012

SI No	ITEM	AMOUNT	
1	Unemployment Wages	372840	2.41
2	Pension For Unmarried	588631	3.81
3	Pension for Physically Handicapped	1450537	9.39
4	Old age Pension	908232	5.88
5	Pension for Agricultural Labours	5834941	37.78
6	Pension to Widows	5825647	37.72
7	Help for Marriage of Daughters of Widows	150000	0.97
8	Ayurveda	10500	0.07
9	Nutrios Food for Angenavadies	301752	1.95
	TOTAL	15443080	100.00

EXPENDITURE OF PAZYANNUR GRAMA PANCHAYAT

YEAR	2012-2013	Percentage Share
Education	800000	3.753
Health	894111	4.195
Drinking Water	200000	0.938
Sanitation	1500000	7.038
Housing	2087500	9.794
Women Component Plan	1995800	9.364
Old Age	500000	2.346
Physically and Mentally Challenged	646600	3.034
Childran	2468938	11.584
Roads	2913859	13.671
Buildings	3430000	16.093
Scheduled Caste Marriage	1080000	5.067
Housing for Scheduled Caste	2640000	12.386
Housing for Scheduled Tribes	157000	0.737
	21313808	100.000

# Housing 2002-03 to 2012-13

ACHIEVEMENTS IN HOUSING										
Year	Centrally Sponsored (IAY)				Own Fund				Total	
	General	SC	ST	Total	General	SC	ST	Total		
2002-03	28	35	0	63	44	20	0	64	127	
2003-04	30	40	0	70	60	18	0	78	148	
2004-05	32	50	0	82	50	20	0	70	152	
2005-06	40	55	0	95	50	10	0	60	155	
2006-07	42	48	3	93	20	10	0	30	123	
2007-08	62	44	5	111	25	15	0	40	151	
2008-09	48	90	1	139	65	45	1	111	250	
2009-10	55	45	0	100	209	20	1	230	330	
2010-11	35	50	0	85	20	10	1	31	116	
2011-12	35	50	0	82	32	26	1	59	144	
2012-13	35	50	0	85	40	19	1	60	145	

# The allocations for the year 2012-13

<b>BUDGET IN RUPEES FOR 2012-13</b>	
<b>Item</b>	<b>RUPEES</b>
Agriculture	33000000
Animal Husbandry and Diary	60000000
Small scale industries	1000000
Poverty reduction including housing	8000000
Social Welfare	1000000
Women and child development	2500000
Health	2000000
Drinking water and sanitation	4000000
Education and culture	1500000
Public works	22000000
SC development	10000000
ST Development	500000
Accounting and records	1000000
	146500000



# **IMPACT ON GENDER MAINSTREAMING**

**'KUDUMBASHREE' FOR POVERTY ERADICATION AND WOMEN EMPOWERMENT**

**Poverty reduction programme of Govt. of Kerala**

**'Kudumbashree' means prosperity for family**

**Women empowerment and poverty reduction**

**NHG Based approach**

**Three tier system**

**NHG = 15-40 adult women**

**Area Development Society (ADS)**

**Community Development Society (CDS)**

## IMPACT ON POLITICAL PROCESS

- ✓ Support of all political parties
- ✓ Elections based on party politics
- ✓ Strong political will for decentralization
- ✓ Developmental issues are put before election

# Challenges

- ❖ Two major challenges
- ❖ sustaining and improving the level of participation
- ❖ providing the technological knowledge
- ❖ Watershed approach
- ❖ Incorporating Environmental Management

# RELIABILITY

- Replicable
- Participatory budgeting
- Devolution of Funds, Finance and Functionary
- Process of implementation
- Process of Monitoring

***Will be more meaningful:***

*In a literate society*

*Social intermediation*

*Active civil society organizations*

*Pro-active government having political will for decentralization*

***THANK YOU***